

Prepared from
Form PTO-1390Transmittal Letter to the United States
Designated/Elected Office (DO/EO/US)

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Attorney's Docket No.:	GK-ZEI-3075	
U.S. Application No.:	09/462961	
International Application No.:	PCT/EP99/03070	
International Filing Date:	MAY 5, 1999	5 MAY 1999
Priority Date Claimed:	MAY 18, 1998	18 MAY 1998
Title of Invention:	ILLUMINATION ARRANGEMENT FOR A STEREO MICROSCOPE (Beleuchtungsanordnung für ein Steromikroskop)	
Applicant(s) for (DO/EO/US):	Johannes KNOBLICH	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [X] 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 [] 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 [] 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
 [] 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 [X] 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 a) is transmitted herewith (required only if not transmitted by the International Bureau)
 b) has been transmitted by the international Bureau
 c) is not required, as the application was filed in the United States Receiving Office (RO/US)
 [] 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)] **TO FOLLOW**
 [] 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
 a) are transmitted herewith (required only if not transmitted by the International Bureau)
 b) have been transmitted by the International Bureau
 c) have not been made; however, the time limit for making such amendments has **NOT** expired.
 d) have not been made and will not be made
 [] 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
 [] 9. An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] **TO FOLLOW**
 [] 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

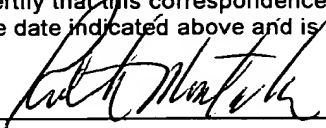
Items 11. to 16. below concern other document(s) or information included:

- [X] 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
 [] 12. An Assignment document for recording. A separate cover sheet (PTO-1595) in compliance with 37 CFR 3.28 and 3.31 is included.
 [] 13. A **FIRST** preliminary amendment
 A **SECOND** or **SUBSEQUENT** preliminary amendment
 [] 14. A substitute specification
 [] 15. A change of power of attorney and/or address letter
 [X] 16. (other items or information) **PCT.RO/101, GERMAN TEXT as filed, PCT/RO/105, PCT/ISA/210, PTO-1449 and 4 cited references.**

EXPRESS MAIL No.: EM 165 843 873 US

Deposited: January 14, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Assistant Commissioner for Patents, Washington, DC 20231.



/Ruth Montalvo Date: January 14, 2000

U.S. Application No. (if known, see 37 C.F.R. 1.50):
 International Application No.: PCT/EP99/

Attorney's Docket No: GK-ZEI-3075

CALCULATIONS PTO USE ONLY

[X] 17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]

[X]	Search Report has been prepared by the EPO or JPO.....	\$	840.00
[]	International preliminary examination fee paid to USPTO [37 CFR 1.482].....	\$	670.00
[]	No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)].....	\$	690.00
[]	Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO.....	\$	970.00
[]	International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4).....	\$	96.00

ENTER APPROPRIATE BASIC FEE AMOUNT:

\$ 840.00

Claims

Number
filed

-20

Number
extra

Rate

Total Claims
Indep. Claims

19

-03

x \$ 18. =
x \$ 78. =

[] Multiple Dependent Claim(s) (if applicable) + \$260. =

TOTAL OF ABOVE CALCULATIONS:

\$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from
the earliest claimed priority date [37 CFR 1.492(e)]

TOTAL OF ABOVE CALCULATIONS:

\$ 840.00

Reduction by ½ for filing by small entity, if applicable. Verified Small Entity Statement must be
filed. [Note 37 CFR 1.9, 1.27, 1.28]

\$.00

SUBTOTAL:

\$ 840.00

Processing fee of \$130.00 for furnishing the English Translation later than [] 20 [] 30 months
from the earliest claimed priority date [37 CFR 1.492(f)] **TO FOLLOW**

\$ 0.00

TOTAL NATIONAL FEE:

\$ 840.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be
accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +

\$.00

TOTAL FEE(S):

\$ 840.00

AMOUNTS TO BE
REFUNDED OR CHARGED

REFUNDED
CHARGED

\$
\$

(Please note the filing fee is based on the claims in the Preliminary Amendment)

[X] Check in the amount of \$ 840.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to
charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.)

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a)
or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Gerald H. Kiel, Esq.
McAulay Nissen Goldberg Kiel & Hand, LLP
261 Madison Avenue
New York, NY 10016-2391

Gerald H. Kiel
Name (Tel. (212) 986-4090)

Signature

25.116
Reg. No.

January 14, 2000
Date

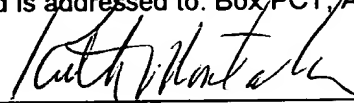
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430 Rec'd PCT/PTO 14 JAN 2000

EXPRESS MAIL No.: EM 165 843 873 US

Deposited: January 14, 2000

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/ Ruth Montalvo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: GK-ZEI-3075

Applicant(s): Johannes KNOBLICH

Serial No.: (Nat'l Ph. of PCT/EP99/03070 filed 5MAY99)

Filed:

For: ILLUMINATION ARRANGEMENT FOR A STEREO MICROSCOPE
(Beleuchtungsanordnung für ein Steromikroskop)

National Phase of PCT Application No. PCT/EP99/03070 filed 5 MAY 1999

TRANSMITTED HERewith IS THE FOLLOWING

- X 1. Transmittal Letter to the United States Designated/Elected Office (PTO-1390);
- X 2. Information Disclosure Statement, Search Report (PCT/ISA/210) dated September 6, 1999, PTO-1449 with 4 references;
- X 3. PCT/RO/105 dated June 11, 1999;
- X 4. Request (PCT/RO/101) with German language text as filed;
- 5. **TO FOLLOW** - English language text;
- 6. **TO FOLLOW** - Executed Declaration/POA;
- 7. **TO FOLLOW** - PTO-1595 and Assignment;
- X 8. Check in the amount of \$ 840.00

The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 13-0025.

Respectfully submitted,



Gerald H. Kiel - Reg. No. 25,116

GHK:ram